No.



8200160

## THUE CONTRIBED STEAMES OF ANTERIOR

Ring Around Products, Inc.

TUltereas, there has been presented to the

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED NOVEL VARIETY OF SEXUALLY REPRODUCED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF eighteen years from the date of this grant, subject to the payment of the required fees and periodic replenishment of viable basic seed of the variety in a public repository as provided by LAW, the right to exclude others from selling the variety, or offering it for sale, or reproducing it,

PORTING IT, OR EXPORTING IT, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT Y THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'RA 502'

In Ecstimony Whereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington this 31st day of August in the year of our Lord one thousand nine hundred and eighty-three.

John R Block

Secretary of Agriculture

Allosk

Kenneth A. Evan

Commissioner Plant Variety Protection Office Grain Division

Agricultural Marketing Service

APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE			FOR	FORM APPROVED: OMB NO.0581-00		
				ertificate for plant variety protection		
				be issued unless a completed appli n form has been received (5 U.S.C		
1. NAME OF APPLICANT(S)  Zoccon Corporation	245 6/25/85	2. TEMPORARY DESIGNATION RAX 9		ARIETY NAME A 502		
ROHM AND HAAS SEEDS I						
4. ADDRESS (Street and No. or R.F.D. No., City, S	tate, and Zip Code)	5. PHONE (Include area code)		FOR OFFICIAL USE ONLY		
975 California Avenue P.O. Box 10975  Polo Altonomic Colifornia	1 <del>000</del>	<del>214-243-8166-</del>	PVPC	8200160		
Palo Alto, California 94	3 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	<u>.l</u>	-	DATE		
Glycine Max	Legumin	•	FILING	TIME 12:30 X A.M. P.M.		
8. KIND NAME Soybean		DATE OF DETERMINATION January 1982	RECEIVED	s 500.00		
10. IF THE APPLICANT NAMED IS NOT A "PERS partnership, association, etc.)  Corporation	ON," GIVE FORM	OF ORGANIZATION (Corporation	, 1 —	Aug. 24, 1982 AMOUNT FOR CERTIFICATE \$ 250.00		
Corporation			"	July 28, 1983		
11. IF INCORPORATED, GIVE STATE OF INCOR Delaware	PORATION			PATE OF INCORPORATION rch 26, 1975		
12000 Ford Road, Suite 30 Dallas, Texas 75234  14. CHECK APPROPRIATE BOX FOR EACH ATTA  a. X Exhibit A, Origin and Breeding History of the Section 52 of the Plant Variety Protection 2.  b. X Exhibit B, Novelty Statement	-(214-2) ACHMENT SUBMITTHE Variety (See	<del>43-8166)</del> SPRWG	Descript			
15. DOES THE APPLICANT(S) SPECIFY THAT SE SEED? (See Section 83(a) of the Plant Variety P	ED OF THIS VARI	ETY BE SOLD BY VARIETY NAI  Yes (If "Yes," answe		·		
16. DOES THE APPLICANT(S) SPECIFY THAT TH LIMITED AS TO NUMBER OF GENERATIONS	IIS VARIETY BE		WHICH	CLASSES OF PRODUCTION		
Yes No  18. DID THE APPLICANT(S) FILE FOR PROTECT	ION OF THE WAR	Foundation CT THE U.S. OR OTHER CO.		egistered Certified		
	ion of the van	ier in the old on other de	ONTHIL	Yes (If "Yes," give name of countries and dates)		
				X No		
19. HAVE RIGHTS BEEN GRANTED IN THE U.S.	OR OTHER COUN	TRIES?		Yes (If "Yes," give name of countries and dates)		
				X No		
20. The applicant(s) declare(s) that a viable samplenished upon request in accordance with	ple of basic seed such regulations	s of this variety will be furnishe as may be applicable.	d with	the application and will be re-		
The undersigned applicant(s) is (are) the own distinct, uniform, and stable as required in Suriety Protection Act.	Section 41, and is	s entitled to protection under t	he provi	sions of Section 42 of the Plant		
Applicant(s) is (are) informed that false rep	resentation herei	n can jeopardize protection and	l result i	n penalties.		
SIGNATURE OF APPLICANT ASSIST	HUT SE	=cretary	D/	30. Augus7. 82		
SIGNATURE OF APPLICANT			DA	ATE		
				1		

FORM LMGS-470 (9-81) (Edition of 1-78 is obsolete)

#### INSTRUCTIONS

General: Send an original copy of the application and exhibits, at least 2,500 viable seeds, and \$500 fee (\$250 filing fee and \$250 examination fee) to U.S. Department of Agriculture, Agricultural Marketing Service, Livestock, Meat, Grain and Seed Division, Plant Variety Protection Office, National Agricultural Library Building, Beltsville, Maryland 20705. (See section 180.175 of the Regulations and Rules of Practice.) Retain one copy for your files. All items on the face of the form are self-explanatory unless noted below.

#### Item

16

- Give the date the applicant determined that he had a new variety based on (1) the definition in section 41(a) of the Act and (2) the date a decision was made to increase the seed.
- Give: (1) the genealogy, including public and commercial varieties, lines, or clones used, and the breeding method; (2) the details of subsequent stages of selection and multiplication; (3) the type and frequency of variants during reproduction and multiplication and state how these variants may be identified and (4) evidence of uniformity and stability.
- Give a summary statement of the variety's novelty. Clearly state how this novel variety may be distinguished from all other varieties in the same crop. If the new variety most closely resembles one or a group of related varieties: (1) identify these varieties and state all differences objectively; (2) attach statistical data for characters expressed numerically and demonstrate that these differences are significant; and (3) submit, if helpful, seed and plant specimens or photographs of seed and plant comparisons clearly indicating novelty.
- 14c Fill in the Exhibit C, Objective Description form, for all characteristics for which you have adequate data.
- Describe any additional characteristics that are not described, or whose description cannot be accurately conveyed in Exhibit C. Use comparative varieties as is necessary to reveal more accurately the description of characteristics that are difficult to describe, such as plant habit, plant color, disease resistance, etc.
- If "Yes" is specified (seed of this variety be sold by variety name only as a class of certified seed) the applicant may NOT reverse his affirmative decision after the variety has either been sold and so labeled, his decision published, or the certificate has been issued. However, if the applicant specified "No," he may change his choice. (See section 180.16 of the Regulations and Rules of Practice.)
  - See section 42 of the Plant Variety Protection Act and section 180.7 of the Regulations and Rules of Practice.

GPO 890-698



Origin and Breeding History of the Variety

An  $F_1$  cross between RA-527 x RA-603 was made in the greenhouse in 1975. RA-527 was a single plant selected from Va68-224 (not released for commercial use). The  $F_1$  was grown out in the greenhouse in 1975.  $F_3$  seed was obtained from the field in 1976 at Plainview, Texas. This cross, called SX 46, was planted out in 4 locations in 1977 for selection purposes. A single plant called SX46(e)28K was selected at Scuffle Town Bottoms, Kentucky. It produced 51 grams of seed.

In 1978 this single plant line was screened in a preliminary trial in Arkansas. It weighed 1450 grams vs. the Forrest check at 1226 grams. This line was advanced to block trials (1979) in Midville, Georgia; Fisher, Arkansas and Wynne, Arkansas. Yield comparsions in 1bs/400' is given below.

	Wynne, Ark.	Fisher, Ark.	Midville, Ga.
Bedford SX46(e)28K	57 lbs. 45 lbs.	54 lbs. 54 lbs.	50 lbs. 48 lbs.
1980 Yield Data			
	Plainview, Tx.	Fisher, Ark.	Scuffle Town, Ky.
Bedford SX46(e)28K	50 70	39 42	38 36

A small increase of 3 acres was made with this line in Fisher, Arkansas. Yields were good i.e. 50.1 bu/ac and sufficient seed was obtained for variety trials, strip trials and small acreage production as RAX-9 in 1981.

Cyst nematode screenings were made on RAX-9 by the University of Arkansas - Dr. Robert Riggs in the winter of 1981. These are:

	Race 3	Race 4
RAX-9	240 cyst/pot	85 cyst/pot
Bedford	26 cyst/pot	59 cyst/pot
Lee 74	640 cyst/pot	674 cyst/pot

1981 Yield Data is given below:

	Plainview, Tx.	Midville, Ga. Fisher, Ark.	<u>Mean</u>
RAX-9	50.5 bu/ac	28.6 bu/ac 36.2 bu/ac	
Bedford	49.2 bu/ac	28.0 bu/ac 38.5 bu/ac	
	(additional	data is available upon request)	

Acting upon this data the soybean variety release committee of Ring Around Seed agreed to release RAX-9 as RA-502 starting in 1983.

During 1981 a small acreage in Fisher, Arkansas was rogued for offtypes, outcrosses etc..

Total of 126 bushels of breeders seed was obtained from the small increase. The larger increase was discarded. Breeders seed will be submitted for Foundation and Registered Seed grade in 1982 to the Arkansas State Plant Board.

SEE EXHIBIT D FOR VARIANTS, UNIFORMITY, & STABILITY MYS

Exhibit B Novelty Statement

RA-502 most nearly resembles its maternal parent RA-527 (V68-224) in plant type, height, pubescence color etc.. It is unique from V68-224, and Essex, a sister line variety, by the following.

- 1. RA-502 has good resistance to race 4 cyst nematodes, V68-224 and Essex are very susceptible.
- 2. RA-502 differs from Forrest and Bedford in its flower coler, i.e.

	Color		
	<u>Pubescence</u>	<u>Pod</u>	Flower
Bedford Forrest RA-502	Tawny Tawny Tawny	Tan Tan Tan	White White Purple

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
LIVESTOCK, MEAT, GRAIN & SEED DIVISION
PLANT VARIETY PROTECTION OFFICE
BELTSVILLE, MARYLAND 20705

EXHIBIT C (Soybean)

# OBJECTIVE DESCRIPTION OF VARIETY SOYBEAN (Glycine max L.)

eters and	<u> </u>	
NAME OF APPLICANT(S) Zoecon Corporation	TEMPORARY DESIGNATION RAX-9	RA-502
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Coo 975 California Avenue Palo Alto, California 94304	de)	FOR OFFICIAL USE ONLY PVPO NUMBER 8200160
Choose the appropriate response which characterizes the va in your answer is fewer than the number of boxes provided	riety in the features described , place a zero in the first box w	below. When the number of significant digits when number is 9 or less (e.g., 0 9).
1. SEED SHAPE:    L   W     L     W	T 2 = Spherical Flattened	(L/W ratio > 1.2; L/T ratio = < 1.2) (L/T ratio > 1.2; T/W > 1.2)
2. SEED COAT COLOR: (Mature Seed)  1 = Yellow 2 = Green 3 = Brown	4 = Black 5 = Other	(Specify)
3. SEED COAT LUSTER: (Mature Hand Shelled Seed)  1 = Dull ('Corsoy 79'; 'Braxton') 2 = Shiny ('Nebelled Seed)	soy'; 'Gasoy 17')	
4. SEED SIZE: (Mature Seed)  1 5 Grams per 100 seeds		
5. HILUM COLOR: (Mature Seed)  6 1 = Buff 2 = Yellow 3 = Brown	4 = Gray 5 = Imperfect Bi	ack 6 = Black 7 = Other (Specify)
6. COTYLEDON COLOR: (Mature Seed)  1 = Yellow 2 = Green		
7. SEED PROTEIN PEROXIDASE ACTIVITY:  1 = Low 2 = High		
8. SEED PROTEIN ELECTROPHORETIC BAND:		
1 = Type A (SP1 <sup>a</sup> ) 2 = Type B (SP1 <sup>b</sup> )		
9. HYPOCOTYL COLOR:  1 = Green only ('Evans'; 'Davis') 2 = Green with the strength of the stre		('Woodworth'; 'Tracy')
10. LEAFLET SHAPE:		
3 1 = Lanceolate 2 = Oval 3 = Ovate	e 4 = Other (Specify)	5

of TEASTER OTE.	<del>- 8200160 -</del>
in 11. LEAFLET SIZE:	
1 = Small ('Amsoy 71'; 'A5312') 2 = Medium ('Corsoy 79'; 'Gasoy 17') 3 = Large ('Crawford'; 'Tracy')	
12. LEAF COLOR:	
1 = Light Green ('Weber'; 'York') 2 = Medium Green ('Corsoy 79'; 'Braxton')	
3 = Dark Green ('Gnome'; 'Tracy')	
13. FLOWER COLOR:	
2 1 = White 2 = Purple 3 = White with purple throat	
14. POD COLOR:	
1 1 = Tan 2 = Brown 3 = Black	
15. PLANT PUBESCENCE COLOR:	
2 1 = Gray 2 = Brown (Tawny)	
16. PLANT TYPES:	
1 = Siender ('Essex'; 'Amsoy 71') 2 = Intermediate ('Amcor'; 'Braxton')	
3 = Bushy ('Gnome'; 'Govan')	
17. PLANT HABIT:	
1 = Determinate ('Gnome'; 'Braxton') 2 = Semi-Determinate ('Will') 3 = Indeterminate ('Nebsoy'; 'Improved Pelican')	
18. MATURITY GROUP:	
0 8 1 = 000 2 = 00 3 = 0 4 = 1 5 = H 6 = HI 7 = IV	8 = V
9 = VI 10 = VII 11 = VIII 12 = IX 13 = X	
19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)	
BACTERIAL DISEASES:	
Bacterial Pustule (Xanthomonas phaseoli var. sojensis)	
0 Bacterial Blight (Pseudomonas glycinea)	
2 Wildfire (Pseudomonas tabaci)	
FUNGAL DISEASES:	
0 Brown Spot (Septoria glycines)	
Frogeye Leaf Spot (Cercospora sojina)	
2 Race 1 Race 2 Race 3 Race 4 Race 5 Other (S)	ecify)
2 Target Spot (Corynespora cassiicola)	
O Downy Mildew (Peronospora trifoliorum var. manshurica)	
Powdery Mildew (Microsphaera diffusa)	
Brown Stem Rot (Cephalosporium gregatum)	
Stem Canker (Diaporthe phaseolorum var, caulivora)	<b>9</b> 2-
A LOUGH COUNCE LEGICULUS DISCONDIUM VAL. CAUNVUM	29

19. DISEASE REACTION	(Enter 0 = Not Tested; 1 = Susceptible; 2 =	Resistant) (Continued)	8200160
FUNGAL DISEASES	3: (Continued)		
O Pod and Stem	Blight (Djaporthe phaseolorum var; sojae)		
2 Purple Seed S	tain (Cercospora kikuchii)		
0 Rhizoctonia R	loot Rot (Rhizactonia salani)		
Phytophthora	Rot (Phytophthora megasperma var. sojae)		
0 Race 1	Race 2 Race 3	Race 4 Race 5	Race 6 Race 7
Race 8	Race 9 Other (Specify) _		
VIRAL DISEASES:			
2 Bud Blight (To	obacco Ringspot Virus)		
O Yellow Mosaid	: (Bean Yellow Mosaic Virus)		
O Cowpea Mosai	ic (Cowpea Chlorotic Virus)		
O Pod Mottle (B	ean Pod Mottle Virus)		
0 Seed Mottle (S	Soybean Mosaic Virus)		
NEMATODE DISEA	SES:		
	Nematode (Heterodera glycines)		
0 Race 1	0 Race 2 ] Race 3 2	Race 4 Other (Sc	pecify)
0 Lance Nemato	ode (Hopiciaimus Colombus)		
Southern Roo	t Knot Nematode (Meloidogyne incognita)		
Contractor (	t Knot Nematode (Meloidogyne Hapla)		
	Knot Nematode <i>(Meloidogyne arenaria)</i>		
	natode (Rotylenchulus reniformis)		
	ASE NOT ON FORM (Specify):		
20. PHYSIOLOGICAL RES	SPONSES: (Enter 0 = Not Tested; 1 = Susce	ptible; 2 = Resistant)	
Iron Chlorosis	on Calcareous Soil		
Other (Specify	<i>)</i>		
21. INSECT REACTION:	(Enter 0 = Not Tested; 1 = Susceptible; 2 = f	Resistant)	
0 Mexican Bean	Beetle (Epilachna varivestis)		
O Potato Leaf H	opper (Empoasca fabae)		물건도 연극하는 이 그리다
O Other (Specify	<i>0</i>		
22. INDICATE WHICH VA	RIETY MOST CLOSELY RESEMBLES TH	AT SUBMITTED.	
CHARACTER	NAME OF VARIETY	CHARACTER	NAME OF VARIETY
Plant Shape	Essex	Seed Coat Luster	Essex
Leaf Shape	Essex	Seed Size	Forrest
Leaf Color	Essex	Seed Shape	Forrest
Leaf Size	Forrest	Seedling Pigmentation	Essex 7
		j	

### 23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

VARIETY	NO. OF DAYS	PLANT LODGING	CM PLANT	LEAFL	ETSIZE	SEED CON	TENT	SEED SIZE G/100	NO. SEEDS/
	MATURITY	SCORE	HEIGHT	CM Width	CM Length	% Protein	% Oil	SEEDS	POD
Submitted	126	1.5	86	_	1		20.4		
ESSEX Name of Similar Variety	126	1.5	90	<b>-</b>	-		19.1		

### PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

- 1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
- 2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
- 3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A<sub>2</sub> in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
- 4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.

Exhibit D Additional Description of the Variety

RA-502 is a short statured, bushy dt1 variety. It possesses field tolerance to race 3 cyst nematodes and resistance to race 4 cyst nematodes. The plant has dark green leaves, seed set is highly clustered. Pubescence is brown (tawny) with, tan pods and purple flowers. RA-502 was tested widely across State trials in 1981. Yields were good in Missouri, Tennessee, Arkansas, North Georgia, North Carolina and Virginia. RA-502 appears to be well adapted to both the Essex area in Virginia, Kentucky, Missouri and parts of Tennessee. It has sufficient cyst nematode resistance and seed yield to be adapted in Delta States such as Arkansas, North Mississippi and West Tennessee.

RA-502 is a uniform stable variety. It will occasionally show white flowered variant, at a frequency of 0.1%. No other phenotypic offtypes were noted in the field in 1981.

Seed quality for RA-502 is very good and has a shiny seed coat, slightly flattened shape. Hilum color is solid black with a wide shape.

## PLANT VARIETY PROTECTION CERTIFICATE

WHEREAS, Ring Around Products, Inc., a Delaware corporation, having a place of business at 12200 Ford Road, Suite 400, Dallas, Texas 75234, hereinafter referred to as "Ring Around", is the duly authorized owner and holder of the entire right, title and interest in and to the following U.S. Plant Variety Protection Certificates and applications for U.S. Plant Variety Certificates (collectively referred to as "Certificates"):

Plant Protection Certificates and Applications

Variety	PVP C	ertificat	ce No.	····	Date	e Issued	
Mitchell	7300054			10,	/30/74		
RA-526	7500067			12/	12/75	1000	
RA-501A	76TQ006			5/1	1/78	1 - 1 1 1 1 1 1	
RA-401	7800030			6/2	22/78		44.7
RA-601	7800031	1986 B. B. B.		6/2	22/78		
RA-480	7900007		1 1 4 4 1	2/1	5/79	* .	1000
RA-604	790008			3/1	./79		
Mitchell 45	0 7900046	1.3		6/1	4/79		
RA-402	8000093			7/3	31/80		
RA-481	8000054	*		3/2	6/81		
RA-680	8100097			4/1	5/82		
RA-502	8200160			8/3	1/83	-	
-RA-403	8200163	ľ		10/	27/83		•
Mitchell 41	0 8200162			10/	27/83	•	
RA-606	8200161			10/	27/83		
RA-580	8300075				0/84		. *.
RA-405	8500010	(filing	number)	10/		(filing	
RA-451	8500008	(filing	number)	10/	10/84	(filing	date)
RA-452	8500009	(filing	number)		10/84	(filing	date)

WHEREAS, ROHM AND HAAS SEEDS INC., a Delaware corporation, ("RHS") having a place of business at Independence Mall West, Philadelphia, Pennsylvania 19105, is desirous of acquiring the entire interest in the aforementioned varieties, Certificates and the rights associated therewith;

NOW, THEREFORE, in consideration of and in exchange for the sum of one dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, Ring Around does hereby sell, assign, transfer and set over, unto RHS its entire right, title and interest in and to the aforementioned varieties and Certificates.

WHEREAS, Rohm and Haas Seeds Inc., a Delaware corporation, with its principal office at Independence Mall West, Philadelphia, Pennsylvania 19105 ("RHS") is the owner of the entire right, title and interest in and to the following soybean varieties, applications for United States Plant Variety Protection Certificates and United States Plant Variety Protection Certificates (collectively referred to as "Certificates"):

Soybean Variety	Certificate Number	Date Issued
Mitchell RA-401 RA-601 Mitchell 450 RA-481 RA-402 Mitchell 410 RA-403 RA-526 RA-604 RA-680	7800030 7800031 7900046 8000054 8000093 8200162	October 30, 1974 June 22, 1978 June 22, 1978 June 14, 1979 March 26, 1981 July 31, 1980 October 27, 1983 October 27, 1983 December 12, 1975 March 1, 1979 April 15, 1982
RA-502 RA-606 RA-580 RA-501 A RA-480	8200160 8200161 8300075 76TQ006	August 31, 1983 October 27, 1983 April 30, 1984 May 11, 1978 February 15, 1979
Soybean Variety	Application Number	Filing Date
RA-451 RA-452 RA-405	8500008 8500009 8500010	October 10, 1984 October 10, 1984 October 10, 1984

WHEREAS, CR Seeds, a general partnership, having its principal office at 900 Darlington Highway, Hartsville, South Carolina 29550 ("Partnership") is desirous of acquiring the entire interest in the aforementioned varieties and Certificates;

WHEREAS, CR Seeds, a general partnership having it principal office at 900 Darlington Highway, Hartsville, South Carolina 29550 ("Partnership"), is the owner of the entire right, title and interest to the United States Plant Variety Protection Certificates and applications for such Certificates (collectively "Certificates") listed on Appendix A attached hereto;

WHEREAS, the partners of CR Seeds, Rohm and Haas Seeds Inc., a Delaware corporation having its principal office at Independence Mall West, Philadelphia, PA 19105 ("RHS") and Coker's Pedigreed Seed Company, a South Carolina corporation with its principal office at 900 Darlington Highway, Hartsville, SC 29550 ("CPS"), are each desirous of acquiring a one-half undivided interest in the varieies and Certificates listed on Appendix A;

NOW, THEREFOR, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, CR Seeds does hereby sell, assign and transfer to RHS a one-half undivided interest in and to the varieties and Certificates and to CPS a one-half undivided interest in and to the varieties and Certificates for each of their use and benefit and for each of their successors and assigns.

IN TESTIMONY THEREOF, CR Seeds intending to be legally bound has caused this assignment to be executed by its duly authorized officer.

CR Seeds

President

State of South Carolina )ss County of Darlington )ss

On this 30th day of December , 1986, before me appeared E. Joe Dahmer of CR Seeds, the person who signed this instrument who acknowledged that he signed it as a free act on behalf of CR Seeds with authority to do so.

Yary Public

My commission expires

4-14-91

## APPENDIX A

## Soft Red Winter Wheat Varieties

Variety Name	U.S. Plant Variety Certificate No.	Issue Date
Coker 68-15	7200014	Mar. 6, 1974
Coker 68-19	7200015	Mar. 6, 1974
Coker 747	76TQ015	Sept. 9, 1978
Coker 762	8000152	Dec. 12, 1981
Coker 797	8000145	Dec. 12, 1981
Coker 916	8300036	Sept. 27, 1985
Coker 983	8400058 <sup>1</sup>	Feb. 27, 1984 <sup>1</sup>
McNair 701	7200038	Feb. 26, 1974
McNair 4823	7200037	April 8, 1975
RHS 8232	8400136 <sup>1</sup>	July 16, 1984 <sup>1</sup>
9227	8600009 <sup>1</sup>	Oct. 22, 1985 <sup>1</sup>
9323	8600010 <sup>1</sup>	Oct. 22, 1985 <sup>1</sup>

<sup>1.</sup> Application number and filing date.

## Winter Oat Varieties

Variety <u>Name</u>	U.S. Plant Variety Certificate No.	Issue Date	
Coker 227	7500007	Oct. 20, 1977	
Coker 716	7900003	Dec. 28, 1978	
Coker 820	8400059 <sup>1</sup>	Feb. 28, 1984 <sup>1</sup>	
Coker 234	7500008	Oct. 26, 1977	
Four Twenty Two	7700085	Apr. 12, 1979	
Big Mac	8200121	Aug. 19, 1982	
Mesquite	8200122	Aug. 19, 1982	

<sup>1.</sup> Application number and filing date.

## Soybean Varieties

Coker Hampton 266A	7100022	Sept. 6, 1973
Halapton 200A	7100022	Bept. 0, 13/3
Coker 136	7300091	Oct. 18, 1973
Coker 338	7400058	Oct. 29, 1976
Coker 237	7800034	July 13, 1978
Coker 488	7800035	July 13, 1978
Coker 156	7900043	Nov. 27, 1979
Coker 317	8100095	Nov. 19, 1981
Coker 368	8200140	Aug. 31, 1983
Coker 425	8400077	May 31, 1985
Coker 485	8400078	May 31, 1985
Coker 393 (0327-4270)	8400018	Jan. 25, 1985
Coker 355 (XP5878)	8400019	Jan. 25, 1985
Coker 627	87000011	Oct. 3, 1986 <sup>1</sup>
Coker 686	Pending <sup>l</sup>	Dec. 19, 1986
	• • • •	and the second of the second o

<sup>1.</sup> Application number and filing date.

Variety Name	U.S. Plant Variety Certificate No.	Issue Date
AP55	8100089	Feb. 18, 1982
AP70	7800081	Feb. 15, 1979
AP71	8100090	Sept. 24, 1981
Lancer	7600044	Sept. 29, 1976
RA-526	7500067	Dec. 12, 1975
RA-604	7900008	Mar. 1, 1975
RA-680	8100097	Apr. 15, 1982
RA-502	8200160	Aug. 31, 1983
RA-606	8200161	Oct. 27, 1983
RA-580	8300075	Apr. 30, 1984
Mitchell	7300054	Oct. 30, 1974
RA-401	7800030	June 22, 1978
RA-601	7800031	June 22, 1978
Mitchell 450	7900046	June 14, 1979
RA-481	8000054	Mar. 26, 1981
RA-402	8000093	July 31, 1981
Mitchell 410	8200162	Oct. 27, 1983
RA-403	8200163	Oct. 27, 1983

Variety Name	Certificate No.	Issue Date	
RA-451	85000081	Oct. 10, 1984 <sup>1</sup>	
RA-452	8500009 <sup>1</sup>	Oct. 10, 1984 <sup>1</sup>	
RA-405	8500010 <sup>1</sup>	Oct. 10, 1984 <sup>1</sup>	
RA-501 A	76TQ006	May 11, 1978	
RA-480	7900007	Feb. 15, 1979	

<sup>1.</sup> Application number and filing date.

WHEREAS, Rohm and Haas Seeds Inc., a Delaware corporation having its principal office at Independence Mall West, Philadelphia, PA 19105 ("RHS"), is the owner of a one-half undivided interest in and to the United States Plant Variety Protection Certificates and applications for such Certificates (collectively "Certificates") listed on Appendix A attached hereto:

WHEREAS, Coker's Pedigreed Seed Company, a South Carolina corporation with its principal office at 900 Darlington Highway, Hartsville, SC 29550 ("CPS"), is desirous of acquiring this one-half undivided interest in the aforementioned varieties and Certificates;

NOW, THEREFOR, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, RHS does hereby sell, assign and transfer to CPS RHS's one-half undivided interest in and to the varieties and Certificates for CPS's use and benefit and for its successors and assigns.

IN TESTIMONY THEREOF, RHS intending to be legally bound has caused this assignment to be executed by its duly authorized officer.

By: Heart A. Musher Assistant Treasurer

State of Pennyham ) SS County of Philadelphia )

On this 3/t day of December . 1986, before me appeared Howard A. Mergelkamp of Rohm and Haas Seeds Inc., the person who signed this instrument who acknowledged that he signed it as a free act on behalf of Rohm and Haas Seeds Inc. with authority to do so.

Elizabeth Q. Smith Public

Notary Public, Phila. Phila. Co

### ASSIGNMENT OF PLANT VARIETY PROTECTION CERTIFICATES

WHEREAS, COKER'S PEDIGREED SEED COMPANY, a South Carolina corporation ("Coker's"), having its offices at 900 Darlington Highway, Hartsville, South Carolina 29550, has adopted and used and is the sole and exclusive owner of certain United States Plant Variety Protection Certificates and similar rights under laws of countries other than the United States as listed in Exhibit A hereto:

WHEREAS, COKER'S PEDIGREED SEED CO. and NORTHRUP KING CO., a Delaware corporation ("NK"), have entered into an Asset Purchase Agreement, dated July 20, 1988, providing for the purchase and sale of substantially all of the assets and business of Coker's and the assumption of certain of Coker's liabilities and obligations by NK; and

WHEREAS, NK desires to acquire the right, title and interest in, to and under the Plant Variety Protection Certificates listed on Exhibit A hereto and the pending applications hereto (collectively, the "Plant Variety Protection Certificates").

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Coker's hereby sells, assigns, transfers and sets over to NK the Plant Variety Protection Certificates. Coker's further agrees, at no cost to it, to execute and deliver to NK, upon the request of NK, any further instrument of assignment that may be necessary to effectuate the transfer of each Plant Variety Protection Certificate.

IN WITNESS WHEREOF, Coker's has caused this instrument to be executed by its duly authorized representative as of the 20th day of July, 1988.

COKER'S PEDIGREED SEED COMPANY

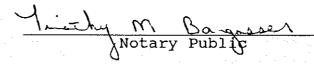
By: E. Joe Dahmer President

STATE OF MINNESOTA )
) ss
COUNTY OF HENNEPIN )

On this 20th day of July, 1988, before me, a Notary Public in and for the County aforesaid, the undersigned officer, E. Joe Dahmer, personally appeared and acknowledged himself to be the President of Coker's Pedigreed Seed Co., and that he executed the foregoing instrument for the purposes therein.

WITNESS my hand and seal this 20th day of July, 1988.





# Soybean Varieties, Continued

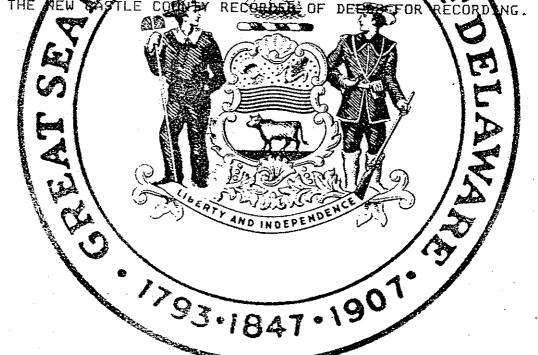
Variety Name	U.S. Plant Variety Certificate Number	Issue Date	Term (Yrs.)
AP55	8100089	Feb. 18, 1982	18
AP70	7800081	Feb. 15, 1979	17
AP71	8100090	Sept. 24, 1981	18
Lancer	7600044	Sept. 29, 1976	17
RA-526	7500067	Dec. 12, 1975	17
RA-604	7900008	Mar. 1, 1975	.17
RA-680	8100097	Apr. 15, 1982	18
- RA-502	8200160	Aug. 31, 1983	18
RA-606	8200161	Oct. 27, 1983	18
RA-580	8300075	Apr. 30, 1984	18
Mitchell	7300054	Oct. 30, 1974	17
Ra-401	7800030	June 22, 1978	17
RA-601	7800031	June 22, 1978	17
Mitchell 450	7900046	June 14, 1979	17
RA-481	8000054	Mar. 26, 1981	18
RA-402	8000093	July 31, 1980	17
Mitchell 410	8200162	Oct. 27, 1983	18
RA-403	8200163	Oct. 27, 1983	18
RA-451	8500008	Dec. 27, 1985	18
RA-452	8500009	Aug. 30, 1985	18
RA-405	8500010	Aug. 30, 1985	18
RA-501A	<b>76T</b> 0006	May 11, 1978	17
RA-480	7900007	Feb. 15, 1979	17

# State of Delaware

## Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "NORTHRUP KING CO.", CHANGING ITS NAME FROM "NORTHRUP KING CO." TO "NOVARTIS SEEDS, INC.", FILED IN THE OFFICE ON HE THERTIETH DAY OF DECEMBER, A.D. 199, A.9 O'SLOCK A.M.

A CERTIFIED COPY OF THIS CERTIFICATE WE SEE FORWARDED TO





Edward J. Freel, Secretary of State

0829320 8100

960389892

**AUTHENTICATION:** 

826(74/

DATE:

12-31-96

## CERTIFICATE OF AMENDMENT OF CERTIFICATE OF INCORPORATION

**OF** 

## NORTHRUP KING CO.

It is certified that:

- 1. The name of the corporation (hereinafter called the "Corporation") is Northrup King Co.
- 2. The Certificate of Incorporation of the Corporation is hereby amended by striking out Section 1 thereof and by substituting in lieu of said Section the following new Section.
  - 1. The name of the Corporation is Novartis Seeds, Inc.
- 3. The amendment of the certificate of incorporation herein certified has been duly adopted and written consent has been given in accordance with the provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.
  - 4. The effective date of the amendment herein certified shall be January 1,1997.

Signed on December 27, 1996.

Edward C. Resler

Vice President & Secretary